



# WEB PORTAL FOR KCSD CLIENTS



2025

# SERVICES

AVAILABLE VIA WEB PORTAL



## FOR PROFESSIONAL PARTICIPANTS

- Depository Services
- Banking services
- Services for corporate actions
- Billing services
- OTC
- e-Onboarding
- API

## FOR ISSUERS

- Registrar services
- Paying agent services
- State registration of the issues
- Services for corporate actions
- Billing services
- e-Onboarding
- API

## FOR PRIVATE INVESTORS

- Registrar services
- Billing services
- OTC
- e-Onboarding
- Banking services

# PLATFORM FOR CSD SERVICES



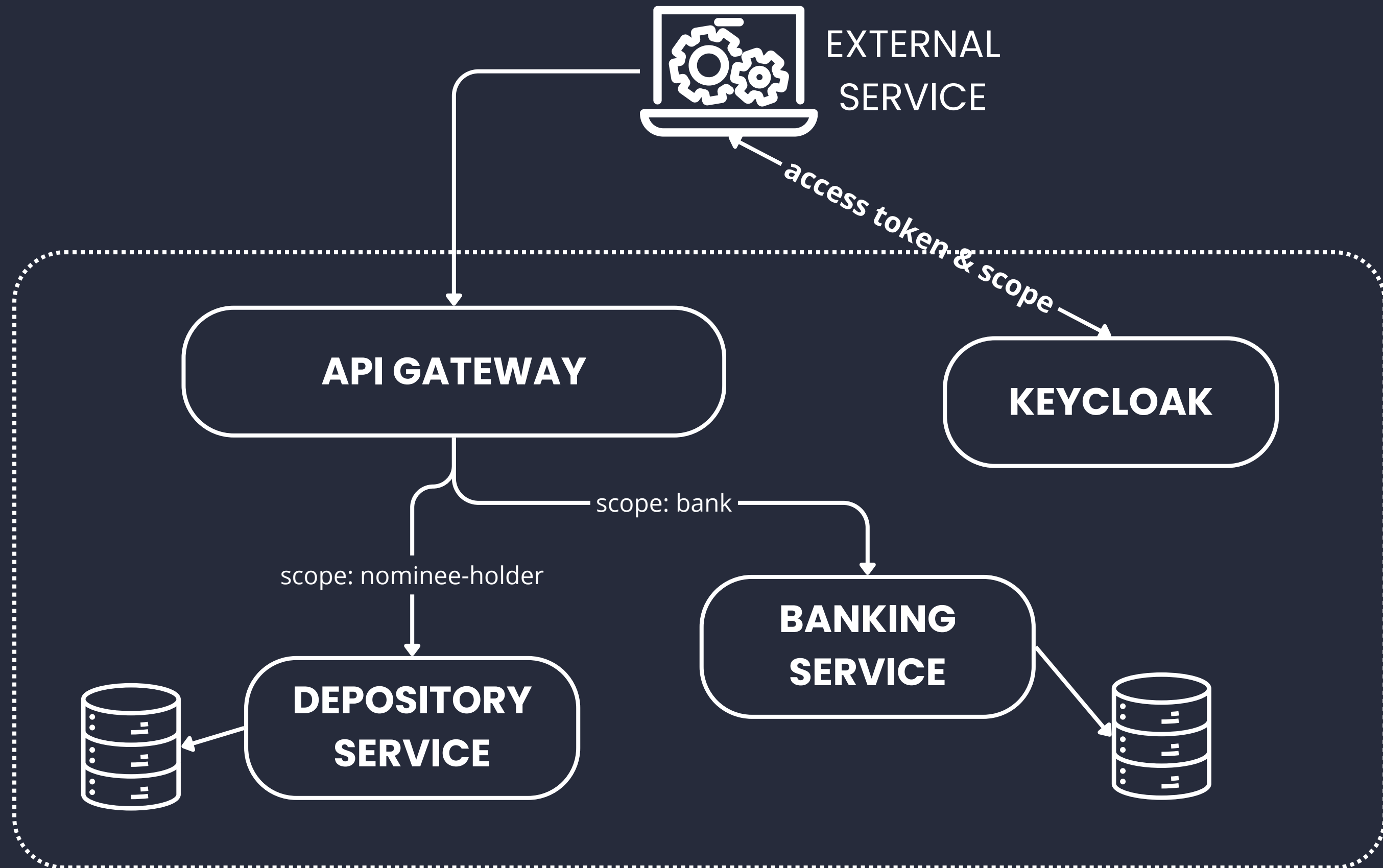
<b>e-onboarding</b>	<ul style="list-style-type: none"><li>• integration with State Databases is enabled</li><li>• applications can be signed with an electronic digital signature</li></ul>
<b>access to services</b>	<ul style="list-style-type: none"><li>• registrar services</li><li>• depository services</li><li>• personal manager service</li><li>• payment agent service</li><li>• access to the API</li></ul>
<b>marketplace</b>	Platform for broker advertising placement
<b>billing</b>	<ul style="list-style-type: none"><li>• access to primary accounting documents (invoices, Acts of Work Performed (AWR), details) in PDF/XML formats.</li><li>• AWRs can be signed with an electronic digital signature</li><li>• debt notification</li></ul>

# PLATFORM FOR CSD SERVICES



<b>notifications</b>	General service for notifying clients about status changes, the need to update information, and other important updates.
<b>instructions</b>	Wiki-style format for instructions with detailed descriptions of how to use various functions.
<b>news</b>	Updates and announcements of new features in the personal account.

# API



list of available endpoints: <https://apidoc.kacd.kz/>

# REGISTRAR SERVICES

## ISSUER

**1. Information requests:** reports, shareholder registers, certificates, list of shareholders indicating ultimate beneficial owners

**2. Transaction registration:**

- purchase/sale of securities
- transfer (primary/other)
- initial placement of securities

**3. Public services:**

- State registration of the issue of authorized shares
- State registration of the issue of non-state bonds
- State registration of the issue of units of unit investment funds
- Approval of the report on the results of share placement
- Cancellation of securities issues

**4. As a Mutual Fund Management Company:** Registration of orders for subscription, redemption, or cancellation of units.



# REGISTRAR SERVICES

## HOLDER

### **1.Transaction registration:**

- purchase/sale of securities
- gift (donation)
- transfer (primary/other)

### **2.Registration of transactions with pledged instruments:**

- purchase/sale
- gift (donation)
- transfer of ownership rights to the pledgee as a result of fulfillment of the pledge agreement
- non-fulfillment of encumbrance obligations

### **3.Registration of pledge and encumbrance orders**

**4.Information requests:** account activity report, statement, certificate confirming the rights to instruments registered in favor of a person, certificate of account existence

# CORPORATE ACTIONS

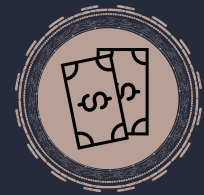
## ISSUER



**Creation of a corporate action:** payout, meeting, information, amendment, and others.



**e-Voting:** enables the company to conduct electronic shareholders' meetings.



**Paying agent:** submitting a request for the issuance of the general list of instrument holders, editing the list of eligible holders, and sending it for the execution of payments.

## DEPOSITOR



**Viewing notifications on corporate actions.**



# DEPOSITORY SERVICES



<b>local market</b>	<p><b>Information services:</b> obtaining an account statement, transaction report, and nominee sub-account report for aggregated accounting.</p> <p><b>Transaction registration:</b> pledges and encumbrances, account operations.</p>
<b>international market</b>	<p>Ability to submit instructions for <b>input/output to/from nominee holding</b> for international CSDs.</p> <p>Calculation of penalties under <b>CSDR</b>; new reports on accrued penalties and incentives from Euroclear/Clearstream.</p> <p>Cancellation of input/output instructions to/from nominee holding.</p> <p>Submission of instructions for back-to-back settlements in Euroclear.</p>

# BANKING SERVICES



## DEPOSITOR

- Foreign currency exchange service
- Creation of **KZT/FX transfers**, transfers to notaries and government authorities; return of erroneously credited funds.
- Migration to **ISO 20022**
- **Information services:** bank account statement, current account status report, certificate on the distribution of funds on the depositor's bank account.
- Creation of a notification on expected incoming funds

## HOLDER

- opening a bank account
- account replenishment
- transfers

# OTC



- Launch of proprietary trading system for the OTC market
- All transactions are conducted on a DvP (Delivery versus Payment) basis, ensuring the security of the deals.





**THANK YOU**