Digital initiatives – information access and rights to investor

21st ACG Cross-Training Seminar – Yogyakarta
Investor Service Task Force

National Securities Depository Limited

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Topics covered

• Consolidated Account Statement - One record for all financial assets of an investor

• eDIS (Electronic Delivery Instruction Slip) – Empowering investor to fulfil its settlement obligation seamlessly

• eVoting/eNotices – Empowering investor to participate in the decision making of the company

• NSDL Mobile application
What is CAS
CAS is a Consolidation of:

- On the Basis of First Holder PAN
- Across Depository Participants
- Across Depositories
- Across MF AMCs
- Holdings and Transactions
NSDL CAS Highlights

Consolidated view of Financial Assets

Insight of portfolio across various asset classes

Enriched experience of managing portfolio effectively

Rich analysis of portfolio for better decision making and simplified monitoring

Interactive, Dynamic, Graphic and detailed view of the statement
More than 18 crore NSDL CAS have been dispatched over the period of Thirty Months i.e. February, 2015 to January 2019.
CAS Enhancements

• Inclusion of details of the insurance policies held by clients in their electronic Insurance Account (eIА) maintained with NDML

• Additional details viz., Total Cost, Average Cost per unit, Unrealised Profit/Loss, Unclaimed Amounts for Redemption & Dividend, Total Expense Ratio in NSDL CAS to provide investor enriched view in respect of clients Mutual Fund investment in demat form and folios

• Additional feature of Portfolio Value Trend whereby investor will be able to view historical monthly movement of consolidated portfolio value over time

• “Annualised Return” to provide information pertaining to return on investments in respect of mutual funds schemes held in MF Folios

• Hyperlink of IndiaBondInfo website to show all information about particular Bond ISIN
• **No PoA required**
  – CM will not be required to take any POA from Client to debit Client account for clients obligation

• **Clearing Member (CM) to upload Client Obligation**
  – CM to pre-generate debit instruction as per client obligation

• **Client to confirm the debit instruction**
  – Client account will get debited only upon receipt of electronic confirmation from Client

• **Client can confirm the debit instruction on**
  – Mobile App
  – SPEED-e

• **No risk of misappropriation**
  – Securities will debited from client account only upon client confirmation
Enables Company to maximise shareholder’s participation

**e-Voting/Tab Voting**
- **Remote e-Voting**
  - Postal ballots
  - General Meetings
  - Shareholders Director’s Elections of Banks
  - Court Convened Meetings
- **Tablet Based voting**
  - At the venue of General Meetings

**e-Notices**
- Facility provided to send the Annual Report/Notice/any other communications to its shareholders on their e-mail id
- Web based, user friendly system
- Dispensation of requirement to send annual report/notice in physical form which makes it cost effective

**Live Video Streaming/Webcast of General Meeting**
- SEBI has mandated compulsorily webcast of AGMs across Top 100 companies from April 1, 2019 onwards
- Wider participation of shareholders
- Secured login credentials on e-Voting website of NSDL
- Convenient to shareholders
Mobile Application

- NSDL Mobile App available for Android and iOS based mobile phones.
- Easy registration for IDeAS facility.
- View latest balances available in the demat account anytime, anywhere.
- Authorize e-DIS instructions uploaded by Clearing Member.
- Single sign-on facility to access e-Voting system of NSDL for casting votes in respect of resolutions of companies to be passed by Postal Ballot or at General Meetings.
Thank you