TDCC’s Fund Clear-on the enhancement of investor’s right protection

Wendy Wu, March 2019
Contents

I. Fund Clear
II. Latest Development
III. Future Plan
I. Fund Clear

1. Overview of Fund Clear

01 What is Fund Clear

02 Why Launched

- In accordance to Regulations Governing Offshore Funds
- Provide central platform for all market participants
- To protect investor’s right
## I. Fund Clear

### 1. Overview of Fund Clear (Cont.)

### 03 What aimed to achieve

| ✓ Standardization improvement |
| ✓ Transparency increase |
| ✓ Efficiency elevating |
| ✓ Automation implement |
| ✓ Financial supervision facilitating |
| ✓ Report process simplifying |
| ✓ **Investor’s right protection** |

### 04 Who benefits

| ✓ Investment Trust Enterprise (SITE)/onshore fund house |
| ✓ Master agent entrusted by offshore fund house |
| ✓ **Fund Distributor** |
| ✓ Financial Supervisory Commission |
| ✓ Securities Investment Trust & Consulting Association (SITCA) |
| ✓ **Chinese National Futures Association (CNFA)** |
| ✓ **Investor** |
I. Fund Clear

2. Evolution of Fund Clear

- Transmission and Payment
- Information Reporting and Announcement
- Order Routing

Year:
- 2005
- 2006
- 2007
- 2010
- 2012
- 2016
- 2017
- 2018
- 2019/1
- 2019 Q2
1. Fund Clear

2. Evolution of Fund Clear (Cont.)

01
------------------------
Wider Scope of Services

02
------------------------
Larger Market Users

03
------------------------
More Powerful Functions
I. Fund Clear

3. Core Structure of Fund Clear

Note:
(1) SITE: Securities Investment Trust Enterprise
(2) SITCA: Securities Investment Trust & Consulting Association
(3) CNFA: Chinese National Futures Association
I. Fund Clear

4. Statistics

Units: millions USD

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**Fund Clear**

**4. Statistics**
II. Latest Development

1. Visualized Statistics for easier understanding

- Further Strengthen Investor’s Right
- Diversify Fund Clear Functions
- Satisfy the Growing Needs of Personal Financing

Visualization Statistics Inquiry Function went online Jan. 2019
II. Latest Development

1. Visualized Statistics for easier understanding (Cont.)
   
   (1) Inquiry items
II. Latest Development

1. Visualized Statistics for easier understanding (Cont.)

(2) Graphic Display
II. Latest Development

1. Visualized Statistics for easier understanding (Cont.)
II. Latest Development

1. Visualized Statistics for easier understanding (Cont.)
II. Latest Development

2. Disclosure of fund asset on ePassbook

(1) Smart inquiry- Items added for more comprehensive information

<table>
<thead>
<tr>
<th>Fund Assets</th>
<th>Fund Information</th>
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</thead>
<tbody>
<tr>
<td>• distribution</td>
<td>• NAV</td>
</tr>
<tr>
<td>• trading history</td>
<td>• performance</td>
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<td>• profile</td>
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</table>

(2) Message and push notification
II. Latest Development

2. Disclosure of fund asset on ePassbook (Cont.)

(3) Graphic display on ePassbook – My assets

Glance across Asset Types

Users can catch all details within a swipe

All the assets can be expressed in market value

*The schematic is for reference only
II. Latest Development

2. Disclosure of fund asset on ePassbook (Cont.)

(3) Graphic display on ePassbook – Fund Information

**NAV**

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<thead>
<tr>
<th>日期</th>
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<th>基金</th>
<th>伴發</th>
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**Fund profile**

- 中文名稱: 美債基金
- 美元名稱: A Fund of Bond Funds

<table>
<thead>
<tr>
<th>投資標的</th>
<th>A美債投資信託股份有限公司</th>
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<tr>
<th>基金經理人</th>
<th>胡嘉嘉</th>
<th>設備</th>
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<th>基金規模 (單位: 美元)</th>
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<th>基金類型</th>
<th>長期投資級</th>
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<td>邊緣級</td>
<td>R3</td>
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<td>投資比例</td>
<td>債券類外</td>
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| 資料更新日期 | 2018/7/13 17:53 |

**Portfolio details**

*The schematic is for reference only*
I. Fund Clear

II. Latest Development

III. Future Plan
III. Future Plan

- Link with external database
- Develop user interactive functions
- Provide more tailor-made functions
- Promotion fund functions of e-passbook
Thank you
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